



Atty's Dkt: 01/01/01 7M

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:) Application Division
LADNER et al.)
Serial No.: 09/893,878) Washington, D.C.
Filing Date: 29 June 2001) October 1, 2001
For: DIRECTED EVOLUTION OF...) Confirmation No. 1764

RESPONSE TO NOTICE TO FILE CORRECTED APPLICATION PAPERS

HON. COMMISSIONER OF PATENTS
Washington, D.C. 20231

Sir:

The present communication is in response to the "NOTICE TO FILE CORRECTED APPLICATION PAPERS" dated August 01, 2001.

- [XX] Attached hereto are formal drawings.
[] Applicant claims small entity status. See 37 CFR 1.27.
[XX] Response to Sequence Listing Requirements.
[] An Information Disclosure Statement with 08A-1449 and references is also attached.
[] A Preliminary Amendment
[] Other documents: _____
[] It is hereby petitioned for an extension of time in accordance with 37 C.F.R. 1.136(a). The appropriate fee required by 37 C.F.R. 1.17 is calculated as shown below:

Small Entity Response Filed Within	Other Than Small Entity Response Filed Within
[] First - \$ 55.00	[] First - \$ 110.00
[] Second - \$ 195.00	[] Second - \$ 390.00
[] Third - \$ 445.00	[] Third - \$ 890.00
[] Fourth - \$ 695.00	[] Fourth - \$1,390.00
Month After Time Period Set	Month After Time Period Set

[XX] Conditional Petition for Extension of Time:

If any extension of time for a response is required, applicant requests that this be considered a petition therefor.

- [] Credit Card Payment Form, PTO-2038, authorizing payment the amount of \$ enclosed to cover the above fees.
[XX] The Commissioner is hereby authorized and requested to charge any additional fees which may be required in connection with this application or credit any overpayment to Deposit Account No. 02-4035. This authorization and request is not limited to payment of all fees associated with this communication, including any Extension of Time fee, not covered by check or specific authorization, but is also intended to include all fees for the presentation of extra claims under 37 CFR 1.16 and all patent processing fees under 37 CFR 1.17 throughout the prosecution of the case. This blanket authorization does not include patent issue fees under 37 CFR 1.18.

BROWDY AND NEIMARK, P.L.L.C.
Attorneys for Applicant(s)

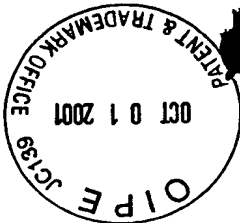
(202) 628-5197

By: _____

Iver P. Cooper

Registration No. 28,005

IPC:tw



4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	Confirmation No. 1764
LADNER et al)	Examiner:
Appln. No.: 09/893,878)	Washington, D.C.
Filed: June 29, 2001)	October 1, 2001
For: DIRECTED EVOLUTION OF)	Atty.Docket: LADNER=7M
NOVEL BINDING PROTEINS)	

RESPONSE TO NOTICE TO COMPLY WITH
SEQUENCE LISTING REQUIREMENTS

Honorable Commissioner for Patents
Washington, D.C. 20231

Sir:

In response to the Notice to Comply included in the Notice to File Corrected Application Papers, dated August 1, 2001, applicants state as follows:

This application is a continuation of 08/993,776, filed 18 December, 1997, which is a continuation of 08/415,922, filed 3 April 1995 (now USP 5,837,500) which is a continuation of 08/009,319, filed 26 January 1993 (USP 5,403,484), which is a division of 07/664,989, filed 1 March 1991 (now USP 5,223,409), which was a CIP of two earlier applications, now abandoned.

In response to the Notice to Comply with Sequence Listing Requirements, applicants hereby submit a paper copy Sequence Listing identical to the one filed and accepted in USP 5,403,484 (SN 08/009,319) on April 27, 1993 and request use of the CRF from USP 5,403,484. It is noted that the

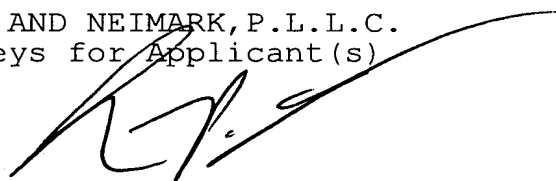
Sequence Listing requirement applies only to sequences added in the March 1, 1991 application, since the sequence rules became effective on October 1, 1990. Also, since the last CIP was in 1991, applicants are entitled to submit a sequence listing in accordance with rules in force in 1991.

It is understood that the Patent and Trademark Office will make the necessary change in application number and filing date for the instant application.

Respectfully submitted,

BROWDY AND NEIMARK, P.L.L.C.
Attorneys for Applicant(s)

By



IVER P. COOPER
Registration No. 28,005

IPC:al
Telephone No.: (202) 628-5197
Facsimile No.: (202) 737-3528
F:\,P\prou\Ladner7M\PTO\SequenceIdentical.doc

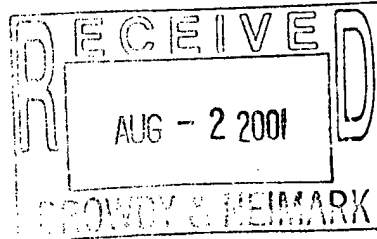


UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/893,878	06/29/2001	Robert Charles Ladner	LADNER=7M

BROWDY AND NEIMARK, P.L.L.C.
624 Ninth Street, N.W.
Washington, DC 20001



CONFIRMATION NO. 1764

FORMALITIES LETTER



OC000000006368830

Date Mailed: 08/01/2001

NOTICE TO FILE CORRECTED APPLICATION PAPERS

Filing Date Granted

DOCKETED

MSG = 10C2001
SEQ = 10C2001

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a)

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - are not on the correct size paper (see 37 CFR 1.84(f)) The size of the sheets on which drawings are made must be either 21.0 cm. by 29.7 cm. (DIN size A4) or 21.6 cm. by 27.9 cm (8 1/2 by 11 inches);
- This application clearly fails to comply with the requirements of 37 C.F.R. 1.821-1.825. Applicant's attention is directed to the final rulemaking notice published at 55 FR 18230 (May 1, 1990), and 1114 OG 29 (May 15, 1990). If the effective filing date is on or after July 1, 1998, see the final rulemaking notice published at 63 FR 29620 (June 1, 1998) and 1211 OG 82 (June 23, 1998). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing", an initial paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

A copy of this notice MUST be returned with the reply.

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

Year	1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100
1970	1971	1972	1973	1974	1975	1976	1977	1978	1979	1980	1981	1982	1983	1984	1985	1986	1987	1988	1989	1990	1991	1992	1993	1994	1995	1996	1997	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017	2018	2019	2020	2021	2022	2023	2024	2025	2026	2027	2028	2029	2030	2031	2032	2033	2034	2035	2036	2037	2038	2039	2040	2041	2042	2043	2044	2045	2046	2047	2048	2049	2050	2051	2052	2053	2054	2055	2056	2057	2058	2059	2060	2061	2062	2063	2064	2065	2066	2067	2068	2069	2070	2071	2072	2073	2074	2075	2076	2077	2078	2079	2080	2081	2082	2083	2084	2085	2086	2087	2088	2089	2090	2091	2092	2093	2094	2095	2096	2097	2098	2099	2100	